

7 MAR 2005 P (1 *3.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Kenichiro Kosai

Group Art Unit: 3683

Application No.: 10/518,861

Examiner: To be assigned

Filed: 12/17/2004

Attorney Dkt. No.: 042-301

For: METHOD OF SELECTIVE ISOLATION OR VISUALIZATION OF TARGET CELLS DIFFERENTIATED FROM EMBRYONIC STEM CELLS OR KIT

FOR VISUALIZATION

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Commissioner for Patents Mail Stop Amendment P.O. Box 1450 Alexandria, VA 22313-1450

November 13, 2004

Commissioner:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent & Trademark Office is hereby directed to the information item(s) listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. However, Applicant reserves the right to remove references from the prior art to the extent permitted by its claim to foreign priority and dated invention.

- a. A check in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p) has been enclosed. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 50-2069 as needed to ensure consideration of the disclosed information.
- Z. This Information Disclosure Statement is being filed less than three months after the U.S. filing date and BEFORE the mailing of the first Office Action on the merits.
 - a. No fee or statement is required for this submission according to 37 CFR 1.97(e). However, the Commissioner is hereby given authority to charge any fee deficiency or credit any overpayment to Deposit Account No. 50-2069 as needed to ensure consideration of the disclosed information.
- - a. Department of Genetics, Mario Roederer

1

- b. Nestin-Specific Green Fluorescent Protein Expression in Embryonic Stem Cell-Derived Neural Precursor Cells Used for Transplantation, by Andressen et al.
- c. Flk1-positive cells derived from embryonic stem cells serve as vascular progenitors, by Jun Yamashita et al.

Respectfully submitted

Tracy/M Heims

Registration No. 53,010

Apex Juris, pllc 13194 Edgewater Lane Northeast Seattle, Washington 98125

Tel: 206-664-0314 Fax: 206-664-0329

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence, which includes the following items:

- 1. Letter to the Commissioner regarding IDS.
- 2. IDS form PTO/SB/08A
- 3. PTO/SB/21 Transmittal Form
- 4. All above referenced documents.

is being mailed to the United States Patent and Trademark Office

March 2, 2005

By: Tracy M Heims Reg. # 53,010

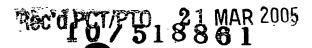
21 MAR 2005

PTO/SB/21 (01-03)
Approved for through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	Midel file Fai	DEIWOIK REGULATION ACCOUNTS	no berson	s are required to respond to a collection				vs a valid OMB	control number.
				Application Number	10/5	18,861	1		
TRANSMITTAL FORM				Filing Date	12/1	12/17/2004 Kenichiro Kosai			
				First Named Inventor	Keni				
(to be used for all correspondence after initial filing)			Art Unit	not yet assigned					
				Examiner Name	not y	not yet assigned			
Tota	al Number of	Pages in This Submission	21	Attorney Docket Number	042-	301			
			ENCI	LOSURES (Check all that	t apply)				
	Amendme	. ,	Li	Drawing(s) Licensing-related Papers Petition Petition to Convert to a			After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	Board ces Group	
	Affer Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request		Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s)		ess Proprietary Information Status Letter Other Enclosure(s) (please Identify below):			se	
Certified Copy of Priority			Remar		IDS	cover	sheet ir	ncluded h	erein.
		SIGNA	TURE C	F APPLICANT, ATTORN	EY, OI	RAGEN	T		
Firm or Apex Juris, elle or Individual Signature									
Date 03/02/2005 /								<u>-</u>	
	CERTIFICATE OF TRANSMISSION/MAILING								
I hereby	I hereby certify that this correspondence is being fact mile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for States, Washington, DC 20231 on this date: 03/02/2005								
Typed	d or printed	Tracy M He	ipri8						
Signature Aun III							Date	03/02/20	05

This collection of information is required by 37 OFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



not yet assigned

Approved for through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449/PTO **Application Number** 10/518,861 Filing Date 12/17/2004 **INFORMATION DISCLOSURE** First Named Inventor Kenichiro Kosai STATEMENT BY APPLICANT Art Unit

(Use as many sheets as necessary)

Examiner Name not yet assigned Attorney Docket Number 042-301

Examiner	Cita	Deciment Month	U. S. PATENT D		
Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		US-	 		Figures Appear
			1		
		UŞ-			
	_	US-			
		US-	 		
		US-			
	-	US-			
		US-	 	·	
		US-	 		
		US-			<u> </u>
		US-			
		US-			
		US-	-		
		US-		· ·	<u> </u>
	\dashv	US-	 		<u> </u>
		ÚS-	 		
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines,		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	. Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	T⁵	
						Г	

Examiner		
	 Date	
Signature		
	Considered	
*EVANILED		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

Transation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)
Approved for through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Substitut	te for form 1449/PTO			Complete if Known		
				Application Number	10/518,861	
INFO	DRMATION	I DIS	CLOSURE	Filing Date	12/17/2004	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Kenichiro Kosai	
	(Use as many she	eets as n	ecessary)	Art Unit	not yet assigned	
				Examiner Name	not yet assigned	
Sheet	2	of	2	Attorney Docket Number	042-301	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	MARIO ROEDERER, "Multiple Stain Analysis for Lymphocyte Subset," Department of Genetics Stanford University, pages 60-66	
	2	CHRISTIAN ANDRESSEN ET AL., "Nestin-Specific Green Fluorescent Protein Expression in Embryonic Stem Cell-Derived neural Precursor Cells Used for Transplantation," pages 419-424, Institute of Anatomy and Physiology, University of Cologne, Cologne, Germany	
	3	JUN YAMASHITA ET AL. "Positive Cells Derived from Embryonic Stem Cells Serve as Vascular Progenitors," Department of Medicine and Clinical Science, and Department of Molecular Genetics, Kyoto University Graduate School of Medicine, Niigata, Japan, Nature Magazine, Volume 408, November 2, 2000, pages 92-96.	
_			
-			
	· · · · · · · · · · · · · · · · · · ·		

Examiner	Da	te
Signature		nsidered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.